

# Lambda Calculus



## Variables and Functions

# Lambda Calculus

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- Mathematical system for functions
  - Computation with functions
  - Captures essence of variable binding
    - Function parameters and substitution
  - Can be extended with types, expressions, memory stores and side-effects
- Introduced by Church in 1930s
  - Notation for function expressions
  - Proof system for equality of expressions
  - Calculation rules for function application (invocation)

# Pure Lambda Calculus

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- Abstract syntax:  $\mathbf{M} ::= \mathbf{x} \mid \lambda \mathbf{x}.\mathbf{M} \mid \mathbf{M} \mathbf{M}$ 
  - $x$  represents variable names
  - $\lambda \mathbf{x}.\mathbf{M}$  is equivalent to `(lambda (x) M)` in Lisp/Scheme
  - $\mathbf{M} \mathbf{M}$  is equivalent to `(M M)` in Lisp/Scheme
  - Each expression is called a lambda term or a lambda expression
- Concrete syntax: add parentheses to resolve ambiguity
  - $(\mathbf{M} \mathbf{M})$  has higher precedence than  $\lambda \mathbf{x}.\mathbf{M}$ ;  
i.e.  $\lambda \mathbf{x}.\mathbf{M} \mathbf{N} \Rightarrow \lambda \mathbf{x} . (\mathbf{M} \mathbf{N})$
  - $\mathbf{M} \mathbf{M}$  is left associative; i.e.  $x y z \Rightarrow (x y) z$
- Compare: concrete syntax in Lisp/Scheme
  - $\mathbf{M} ::= \mathbf{x} \mid (\text{lambda } (\mathbf{x}) \mathbf{M}) \mid (\mathbf{M} \mathbf{M})$

# The Applied Lambda Calculus

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- Can pure lambda calculi express all computation?
  - Yes, it is Turing complete. Other values/operations can be represented as function abstractions.
    - For example, boolean values can be expressed as
$$\text{True} = \lambda t. (\lambda f. t)$$
$$\text{False} = \lambda t. (\lambda f. f)$$
  - But we are not going to be extreme.
- The applied lambda calculus
$$M ::= e \mid x \mid \lambda x.M \mid M M$$
  - $e$  represents all regular arithmetic expressions
- Examples of applied lambda calculus
  - Expressions:  $x+y$ ,  $x+2*y+z$
  - Function abstraction/definition:  $\lambda x.(x+y)$ ,  $\lambda z.(x+2*y+z)$
  - Function application (invocation):  $(\lambda x.(x+y)) 3$

# Lambda Calculus In Real Languages

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## □ Lisp

- Many different dialects

- Lisp 1.5, Maclisp, ..., Scheme, ...CommonLisp,...
- This class uses Scheme

- Function abstraction (allow multiple parameters)

- $\lambda x. M \Rightarrow (\text{lambda } (x) M)$
- $\lambda x. \lambda y. \lambda z. M \Rightarrow (\text{lambda } (x\ y\ z) M)$

- Function application

- $M1\ M2 \Rightarrow (M1\ M2)$
- $(M1\ M2)\ M3 \Rightarrow (M1\ M2\ M3)$

## □ C (each function must have a name)

- $\lambda x. \lambda y. \lambda z. M \Rightarrow \text{int } f(\text{int } x, \text{int } y, \text{int } z) \{ \text{return } M; \}$
- $(M1\ M2)\ M3 \Rightarrow M1(M2, M3)$

# Example Lambda Terms

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- Nested function abstractions (definitions)

$\lambda s. \lambda z. z$

$\lambda s. \lambda z. s (s z)$

$\lambda s. \lambda z. s (s (s z))$

- Nested function applications (invocations)

$x y z$

$(\lambda s. \lambda z. z) y z$

$(\lambda s. \lambda z. s (s z)) ((\lambda s. \lambda z. z) y z)$

# Semantics of Lambda Calculus

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- The lambda calculus language
  - Pure lambda calculus supports only a single type: function
    - Applied lambda calculus supports additional types of values such as int, char, float etc.
  - Evaluation of lambda calculus involves a single operation: function application (invocation)
  - Provide theoretical foundation for reasoning about semantics of functions in Programming Languages
    - Functions are used both as parameters and return values
    - Support higher-order functions; functions are first-class objects.
- Semantic definitions
  - How to bind variables to values (substitute parameters with values)?
  - How do we know whether two lambda terms are equal? (evaluation)

# Evaluating Lambda Calculus

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- What happens in evaluation

$$(\lambda y. y + 1) x = x + 1$$

$$(\lambda f. \lambda x. f (f x)) g = \lambda x. g (g x)$$

$$(\lambda f. \lambda x. f (f x)) (\lambda y. y+1)$$

$$= \lambda x. (\lambda y. y+1) ((\lambda y. y+1) x)$$

$$= \lambda x. (\lambda y. y+1) (x+1) = \lambda x. (x+1)+1$$

- Lambda term evaluation => substitute variables (parameters) with values
  - Each variable is a name (or memory store) that can be given different values
  - When variables are used in expressions, need find the binding location/declaration and get the value



# Variable Binding

- Bound and Free variables
  - Each  $\lambda x.M$  declares a new local variable  $x$ 
    - $x$  is bound (local) in  $\lambda x.M$
    - The binding scope of  $x$  is  $M \Rightarrow$  the occurrences of  $x$  in  $M$  refers to the  $\lambda x$  declaration
  - Each variable  $x$  in a expression  $M$  is free (global) if
    - there is no  $\lambda x$  in the expression  $M$ , or  $x$  appears outside all  $\lambda x$  declarations in  $M$
    - The binding scope of  $x$  is somewhere outside of  $M$
- Example:  $\lambda x. \lambda y. (z1*x+z2 *y)$ 
  - Bound variables:  $x, y$ ; free variables:  $z1, z2$
  - Binding scopes
    - $\lambda x \Rightarrow \lambda y. (z1*x+z2 *y)$
    - $\lambda y \Rightarrow (z1*x+z2 *y)$
- Do variable names matter?
  - $\lambda x. (x+y) = \lambda z. (z+y)$
  - Bound (local) variables: no; Free (global) variables: yes
  - Example:  $y$  is both free and bound in  $\lambda x. ((\lambda y. y+2) x) + y$

# Equality of Lambda Terms

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## □ $\alpha$ -axiom

$$\lambda x. M = \lambda y. [y/x]M$$

- $[y/x]M$ : substitutes  $y$  for free occurrences of  $x$  in  $M$
- $y$  cannot already appear in  $M$
- Example
  - $\lambda x. (x + y) = \lambda z. (z + y)$
  - But  $\lambda x. (x + y) \neq \lambda y. (y + y)$

## □ $\beta$ -axiom

$$(\lambda x. M) N = [N/x] M$$

- $[N/x]M$ : substitutes  $N$  for free occurrences of  $x$  in  $M$
- Free variables in  $N$  cannot be bound in  $M$
- Example
  - $(\lambda x. \lambda y. (x + y)) z1 = \lambda y. (z1 + y)$
  - But  $(\lambda x. \lambda y. (x + y)) y \neq \lambda y. (y + y)$

# Evaluation of Lambda-terms

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## □ $\beta$ -reduction

$$(\lambda x. t1) t2 \Rightarrow [t2/x]t1$$

- where  $[t2/x]t1$  involves renaming as needed
- Rename bound variables in  $t1$  if they appear free in  $t2$ 
  - $\alpha$ -conversion:  $\lambda x. M \Rightarrow \lambda y. [y/x]M$  ( $y$  is not free in  $M$ )
- Replaces all free occurrences of  $x$  in  $t1$  with  $t2$

## □ Reduction

- Repeatedly apply  $\beta$ -reduction to each subexpression
- Each reducible expression is called a redex
- The order of applying  $\beta$ -reductions does not matter

# Example: Variable Substitution

- $(\lambda f. \lambda x. f (f x)) (\lambda y. y+x)$   
apply twice                      add x to argument

- Substitute variables “blindly”

$$\lambda x. [(\lambda y. y+x) ((\lambda y. y+x) x)] \Rightarrow \lambda x. x+x+x$$

- Rename bound variables

$$\begin{aligned} & (\lambda f. \lambda z. f (f z)) (\lambda y. y+x) \\ \Rightarrow & \lambda z. [(\lambda y. y+x) ((\lambda y. y+x) z)] \\ \Rightarrow & \lambda z. z+x+x \end{aligned}$$

Easy rule: always rename variables to be distinct

# Examples

## Reduce Lambda Terms

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- $(\lambda x. (x+y)) 3$
- $(\lambda f. \lambda x. f (f x)) (\lambda y. y+1)$
- $\lambda x. (\lambda y. y x) (\lambda z. x z)$
- $(\lambda x. (\lambda y. y x) (\lambda z. x z) ) (\lambda y. y y)$

# Solutions

## Reduce Lambda Terms

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- $(\lambda x. (x+y)) 3$   
 $\Rightarrow 3 + y$
- $(\lambda f. \lambda x. f (f x)) (\lambda y. y+1)$   
 $\Rightarrow \lambda x. (\lambda y. y+1) ((\lambda y. y+1) x)$   
 $\Rightarrow \lambda x. (\lambda y. y+1) (x+1)$   
 $\Rightarrow \lambda x. (x+1)+1$
- $\lambda x. (\lambda y. y x) (\lambda z. x z)$   
 $\Rightarrow \lambda x. (\lambda z. x z) x$   
 $\Rightarrow \lambda x. x x$
- $(\lambda x. (\lambda y. y x) (\lambda z. x z) ) (\lambda y. y y)$   
 $\Rightarrow (\lambda x. x x) (\lambda y. y y)$   
 $\Rightarrow (\lambda y. y y) (\lambda y. y y)$   
 $\Rightarrow (\lambda y. y y) (\lambda y. y y)$

# Confluence of Reduction

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- Reduction
  - Repeatedly apply  $\beta$ -reduction to each subexpression
  - Each reducible expression is called a redex
- Normal form
  - A lambda expression that cannot be further reduced
  - The order of applying  $\beta$ -reductions does not matter
- Confluence
  - If a lambda expression can be reduced to a normal form, the final result is uniquely determined
  - Ordering of applying reductions does not matter

# Termination of Reduction

- Can all lambda terms be reduced to normal form?
  - No. Some lambda terms do not have a normal form (i.e., their reduction does not terminate)
  - Example non-terminating reductions
$$(\lambda x. x x) (\lambda x. x x)$$
$$\Rightarrow (\lambda y. y y) (\lambda x. x x) \Rightarrow (\lambda x. x x) (\lambda x. x x) \dots$$
- Combinators
  - Pure lambda terms without free variables
- Fixed-point combinator
  - A combinator  $Y$  such that given a function  $f$ ,  $Y f \Rightarrow f (Y f)$
  - Example:  $Y = \lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))$ 
    - $Yf = (\lambda f. (\lambda x. f (x x)) (\lambda x. f (x x))) f$
    - $\Rightarrow (\lambda x. f (x x)) (\lambda x. f (x x))$
    - $\Rightarrow f ((\lambda x. f (x x)) (\lambda x. f (x x)))$
    - $\Rightarrow f (Yf)$



# Recursion and Fixed Points

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- Recursive functions
  - The body of a function invokes the function
    - Factorial:  $f(n) = \text{if } n=0 \text{ then } 1 \text{ else } n*f(n-1)$
- Is it possible to write recursive functions in Lambda Calculus?
  - Yes, using fixed-point combinator
- More advanced topics (not required)